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REVIEW

Niemann—Pick type C disease: cellular pathology and pharmacotherapy

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Abstract

Niemann–Pick type C disease (NPCD) was first described in 1914 and affects approximately 1 in 150 000 live births. It is characterized clinically by diverse symptoms affecting liver, spleen, motor control, and brain; premature death invariably results. Its molecular origins were traced, as late as 1997, to a protein of late endosomes and lysosomes which was named NPC1. Mutation or absence of this protein leads to accumulation of cholesterol in these organelles. In this review, we focus on the intracellular events that drive the pathology of this disease. We first introduce endocytosis, a much-studied area of dysfunction

in NPCD cells, and survey the various ways in which this process malfunctions. We briefly consider autophagy before attempting to map the more complex pathways by which lysosomal cholesterol storage leads to protein misregulation, mitochondrial dysfunction, and cell death. We then briefly introduce the metabolic pathways of sphingolipids (as these emerge as key species for treatment) and critically examine the various treatment approaches that have been attempted to date. **Keywords:** cholesterol, endocytosis, glucosylceramide, GBA2, lysosome, Niemann-Pick.

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NPC₁

Despite years of research the functions of NPC1 still resist simple definition. It has been proposed as an exporter of lipophilic molecules (Davies et al. 2000a) and amines, (Kaufmann and Krise 2008) and to bind sphingolipids (Malathi et al. 2004) and mycolic acids (Fineran et al. 2017). However, the emphasis has been on this protein as a cholesterol exporter following the recognition that it possess a sterol sensing domain (SSD) (Carstea et al. 1997). Mutations in the SSD were found to impede cholesterol export from the lysosome (Millard et al. 2005) and to reduce labelling of the protein by a fluorescent cholesterol analogue (Ohgami et al. 2004). The developing view of the pathogenesis of NPCD was complicated somewhat by the discovery that a second lysosomal protein, termed NPC2, was also involved in the disease (Naureckiene et al. 2000). However, this molecule was swiftly established as capable of binding cholesterol (Ko et al. 2003; Friedland et al. 2003) and subsequent studies confirmed this (Xu et al. 2007; Infante et al. 2008a). The idea that NPC1 and -2 act together was put forward (Subramanian and Balch 2008; Infante et al. 2008b) and has been confirmed and refined by later work. Thus within the lysosome NPC2 collects cholesterol (Berzina et al. 2018) and conveys it to the N-terminal domain (NTD) of NPC1 in the limiting membrane. The transfer of cholesterol from NPC2 to NPC1(NTD) has not yet been observed in live cells but has been studied in model systems

(Infante et al. 2008b; Wang et al. 2010) and computationally (Hodošček and Elghobashi-Meinhardt 2018). It is believed that NPC1 then transfers cholesterol from the NTD to the SSD; the mechanism of this transfer is unknown but recent work identifying a hydrophobic tunnel in a yeast orthologue may offer significant insights (Winkler et al. 2019) and is consistent with an in silico study based on a low-resolution crystal structure (Elghobashi-Meinhardt 2019). Binding of cholesterol in the SSD has been inferred from mutational studies (Ohgami et al. 2004; Millard et al. 2005) but to date binding sites have been identified with precision only by computational means (Li et al. 2016; Elghobashi-Meinhardt 2019; Wheeler et al. 2019b). These studies produce varying results and agree only that NPC1 possesses a cholesterolbinding site aligned with the luminal leaflet of the lysosomal limiting membrane; it thus remains an open question whether or not NPC1 is sufficient to complete the export of cholesterol or whether other entities are involved. It has been proposed (Li et al. 2016) that cholesterol diffuses away

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Abbreviations used: SSD, sterol sensing domain; NTD, N-terminal domain; LSDs, lysosomal storage disorders; LDL, low density lipoprotein; CLICs, clathrin-independent carriers; AnxA2, annexin A2; LBPA, lysobisphosphatidic acid.

from NPC1 into the membrane where it would be expected to flip rapidly (Steck and Lange 2012) to become aligned with the cytosolic face from where it could be collected by a carrier protein. Its distribution may be regulated by C24sphingomyelin (Courtney et al. 2018) which in turn can be flipped by ABCA1 (Choi et al. 2003; Quazi and Molday 2013) which localizes to endosomes (Quazi and Molday 2013). Whether glucosylceramide on the cytosolic face of the membrane (to be discussed later) can perform the same function as sphingomyelin has not yet been investigated.

While the mechanism by which NPC1 completes cholesterol export has not been fully elucidated, beyond controversy (e.g., Carstea et al. 1997) is that mutant NPC1 or -2lead to accumulation (often termed storage) of cholesterol in late endosomes and lysosomes of NPCD patients. This condition thus constitutes a member of the class of lysosomal storage disorders (LSDs) but is unusual in that category as most such diseases derive from failure of catabolism rather than from failure of export. Cholesterol in the lysosome is mostly derived from low density lipoprotein (LDL) via the process of endocytosis.

Endocytosis

Endocytosis is a constitutive process by which cells internalized nutrients and refresh their outer membranes. There are at least three varieties known to modern biology. The best studied depends on the protein clathrin which accumulates in regions of the plasma membrane and forms pits. These pits contain certain receptors such as that for LDL and the ironbinding protein transferrin. Clathrin induces a curvature in the membrane that eventually becomes so pronounced that the pit is almost separated from the membrane; the protein dynamin completes the separation and an approximately spherical, clathrin-coated vesicle forms. The coat is soon shed and the resulting vesicle proceeds to carry its cargo into the cell. A less well understood mechanism uses the protein caveolin to induce membrane curvature and form structures known as caveolae; the insulin receptor is internalized in this way (Gustavsson et al. 1999). Once a caveola has been pinched off it too forms a vesicle. Similarly, the protein galectin-3 can form structurally distinct vesicles known as clathrin-independent carriers (CLICs) (Lakshminarayan et al. 2014). This process depends on glycosphingolipids, (Lakshminarayan et al. 2014) a class of compound we will meet much more extensively later. Once the vesicles are generated by whichever mechanism they eventually fuse with bodies known as early endosomes. From here some material is recycled to the cell surface while other is progressed along the endocytic pathway to late endosomes. The regulation of this process is not fully understood but involves both rab proteins and phosphoinositide lipids (Shen et al. 2011; Li et al. 2013) Late endosomes are also known as multi-vesicular bodies (MVBs) as they feature intra-luminal membranes which is where the original endocytic cargo resides.

Further processing requires the late endosome to fuse with a lysosome. This in turn necessitates them being close in space meaning both organelles must be mobile. The small GTPase rab7 attached to the membrane of each organelle contacts motor proteins that attach to the cytoskeleton (Lebrand et al. 2002; Chen et al. 2008; Rocha et al. 2009) and allow the requisite movement. (As rab7 is present on both late endosomes and lysosomes it is not possible to distinguish the two sets of organelles precisely so the term 'late endolysosome' (LEL) will be used here to include both). Merging of endocytic vesicles may also require annexin A2 (AnxA2), (Mayran et al. 2003; Morel and Gruenberg 2009) possibly through its ability to mediate membrane fusion events (Grill et al. 2018). The definitive requirements are the initial formation of a tether between rab7 and a cognate effector, probably the HOPS complex, (Luzio et al. 2007) release of calcium ions (Pryor et al. 2000) and finally formation of a SNARE complex from proteins on the surface of each partner. For endocytosis these SNAREs are syntaxin 7 (Stx7) and VAMP8 (Ward et al. 2000; Pryor et al. 2004).

Once the hybrid organelle has formed from an endosome and a lysosome catabolism of the contents is performed by an array of enzymes; the pH optima of many of these enzymes are low (Xiong and Zhu 2016) thus an acidic environment is necessary. Acidity is achieved by the activity of the vacuolar ATPase pump (vATPase) (Forgac 2007) inhibition of which is reported by some studies to lead to widespread failure of endocytosis (van Weert et al. 1995; Baravalle et al. 2005). Metabolites generated in the LEL are exported by a variety of proteins including NPC1, the lysosome reforms while the rab and SNARE proteins are removed from the membrane to be reused.

In addition to the failure to export cholesterol from the LEL a variety of experiments have detected multiple transport defects in Niemann-Pick cells (Neufeld et al. 1999; Liscum 2000; Choudhury et al. 2002; Choudhury et al. 2004; Pipalia et al. 2007; Kaufmann et al. 2009; Tharkeshwar et al. 2017) including a slowing of the entire endocytic process (Choudhury et al. 2004; Tharkeshwar et al. 2017). How can an accumulation of a single metabolite have such wide-ranging effects? As we have already seen endocytosis relies on an extensive, complex set of protein machines to function. There is a growing appreciation of the interaction of lipids, especially cholesterol (e.g., Epand 2006; Levitan et al. 2014), with proteins and that this interaction has functional consequences. Could the origins of the endocytic defect in NPCD result from protein dysfunction induced by cholesterol accumulation?

Successive investigations have indeed found it to be so. Thus under conditions of high cholesterol content such as pertain in NPCD the endolysosomal protein ORP1L undergoes conformational change (Rocha et al. 2009; Vihervaara et al. 2011). Through a complex of proteins, including rab7, this associates the motor protein dynein with the organelle. Dynein is responsible for movement to the minus-end of

microtubules, which is to say toward the cell interior. In NPCD the endolysosomal cholesterol content is permanently high so dynein remains associated and the organelles become immobilized (Zhang et al. 2001; Lebrand et al. 2002; Chen et al. 2008; Takahashi and Kobayashi 2009; Rocha et al. 2009) away from the cell periphery (Ko et al. 2001; Lebrand et al. 2002; Rocha et al. 2009) and therefore unable to fuse with early endosomes This mechanism also keeps them away from the ER. This is important as the ER is believed to be replenish (Gerasimenko et al. 1998) endolysosomal calcium stores which have been used up in vesicle fusion but are needed if the lysosome is to fuse with and therefore process the next wave of incoming nutrients. Indeed lysosomal calcium was found to be low in NPCD cells (Lloyd-Evans et al. 2008; Xu et al. 2012; Visentin et al. 2013) while blocking the IP₃ receptor led to a proliferation of endocytic vesicles, (Garrity et al. 2016) a characteristic of lysosomal storage diseases.

Cholesterol accumulation also makes a more direct contribution the endocytic defect. Annexins A2 (Harder et al. 1997; Mayran et al. 2003) and A6 (de Diego et al. 2002; Domon et al. 2010) both associate with cholesterol-rich areas of membrane and so in NPCD mislocalize to late, rather than early, endosomes; (Domon et al. 2011; Te Vruchte et al. 2004) this defect is recapitulated in cells treated with a small molecule blocker of NPC1 (Mayran et al. 2003). While the function(s) of annexins in endocytosis have only been partially elucidated (Mayran et al. 2003; Morel and Gruenberg 2009; Grill et al. 2018) their tendency to associate with cholesterol-rich membranes renders likely some role in the NPCD endocytic defect. Other proteins found in membrane subfractions with high cholesterol content include rab7, (Yu et al. 2007) syntaxin7 (Yu et al. 2007; Enrich et al. 2015) and VAMP8 (Gu et al. 2012). As shown above the successful continuation of the endocytic cycle requires their removal from membranes for re-use. This removal is retarded for all these proteins when they are present in cholesterol-rich membranes, (Lebrand et al. 2002; Takahashi and Kobayashi 2009; Fraldi et al. 2010) such as those present in NPCD endolysosomes, and so endocytosis as a whole is slowed down (Lebrand et al. 2002; Takahashi and Kobayashi 2009). (A similar defect affects NPCD synapses (Xu et al. 2010b)). Consistently this transport defect can be rescued by overexpression of rab7 (Choudhury et al. 2002). (Experiments involving rab7 should be interpreted with caution as this protein has a plethora of functions, (Guerra and Bucci 2016) many of which such as cathepsin D maturation, (Zhang et al. 2009) autophagy (Gutierrez et al. 2004; Ganley et al. 2011) and lysosome-mitochondrion contacts (Wong et al. 2018) are likely to be relevant to NPCD. Controlling adequately for all these factors is necessarily challenging.)

Thus, numerous endolysosomal proteins are likely to be affected by high cholesterol content – we have recently contributed an *in silico* modelling study exploring the

molecular details of these interactions (Wheeler et al. 2019b). Another key protein is the vATPase proton pump through which these organelles acquire the requisite acidic pH. The pH of lysosomes in NPCD cells has been reported as elevated by ourselves (Wheeler et al. 2019a) as well as other workers (Tharkeshwar et al. 2017; Chakraborty et al. 2017) although other studies find it normal (Bach et al. 1999; Llovd-Evans et al. 2008; Elrick et al. 2012). (We propose that these differences are because of heterogeneity of lysosomal vesicles. Although a subset of endocytic vesicles acidify correctly in NPCD another population is absent (Leung et al. 2018); reported differences in endolysosomal pH may therefore be because of different methods measuring dissimilar lysosomal populations.) Acidification will generate an electrical potential (Gerasimenko et al. 1998; Ishida et al. 2013; Cang et al. 2015) and there is debate whether ion fluxes mediated by other ion channels in the endolysosomal membrane are necessary to balance this. The debate has been wide-ranging (Koivusalo et al. 2011; DiCiccio and Steinberg 2011; Mindell 2012) and will not be entered here, although the association of calcium, (Sun 2000) chloride (Kasper et al. 2005; Poët et al. 2006; Pressey et al. 2010) and potassium (Lill et al. 2015; Jinn et al. 2017) channels with neurodegeneration may well be significant. Indeed even the absence of PIKfyve, the enzyme responsible for synthesizing the ligand for some calcium channels, results in neurodegenerative disease (Zolov et al. 2012).

Autophagy

Autophagy is the process by which cells degrade worn out organelles and toxic proteins. It begins with the formation of a double-membraned organelle termed the phagophore which seals to become an autophagosome (Xie *et al.* 2008). This fuses with a lysosome to become an autolysosome wherein the necessary degradation occurs and metabolites are exported.

Given the resemblance of this process to endocytosis we might expect similar requirements to pertain, particularly to the organelle fusion step. Indeed correct acidification, (Kawai et al. 2007; Koga et al. 2010; Aldrich et al. 2015) rab7, (Gutierrez et al. 2004; Ganley et al. 2011; Wijdeven et al. 2016) Ca²⁺ efflux through the TRPML1 channel, (Zhang et al. 2016) Stx17 (Itakura et al. 2012) (though not -7 (Furuta et al. 2010)) and VAMP7 (Fader et al. 2009) or -8 (Furuta et al. 2010) have all been reported as necessary. The additional dependence on the correct proportion of membrane cholesterol (Koga et al. 2010; Wijdeven et al. 2016) raises the expectation that autophagy will be slowed in NPCD, just as endocytosis is, and that this deficiency may have similar molecular origins. Such an error would be expected to appear as a proliferation of autophagosomes (visualized as positive for marker protein LC3-II) as they fail to fuse with lysosomes. This has indeed been repeatedly reported in NPCD (e.g., Ko et al. 2005; Pacheco et al. 2007; Sarkar et al. 2013)).

Nonetheless alternative accounts, only partially consistent, are available. Work in NPC neurones (derived from embryonic stem cells, ESCs) found the autophagic pathway not stalled but rather over-active, (Ordonez et al. 2012) a result echoed in NPC fibroblasts (Pacheco et al. 2007; Elrick et al. 2012). On this model the proliferation of autophagic vesicles (e.g., Pacheco et al. 2007; Sarkar et al. 2013) derives from reduced clearance of autolysosomes and not from impaired fusion of their precursors. In turn clearance may be slowed by reduced activity of lysosomal proteases the cathepsins which was attributed, after elimination of more conventional causes, to direct enzyme inhibition by stored lipids (Elrick et al. 2012).

From cholesterol storage to secondary lipid accumulation In common with other lysosomal storage disorders various lipids accumulate in NPCD including di- and tri-acylglycerols (Tharkeshwar et al. 2017), phosphoinositides (Tharkeshwar et al. 2017), sphingosine (Te Vruchte et al. 2004), sphingomyelin (Vanier 1983; Harzer et al. 2003; Tharkeshwar et al. 2017), GlcCer (Harzer et al. 2003) and gangliosides (Te Vruchte et al. 2004; Zhou et al. 2011). This results from the interplay of at least three factors: decreased lysosomal acid lipase activity because of increased pH (van der Poel et al. 2011), favourable physico-chemical interactions between lipids (Picas et al. 2016; Engberg et al. 2016) and reduced enzyme activity because of stored lipids (Sandhoff and Sandhoff 2018). Sometimes the result of this interplay is surprising. Thus although NPCD cells accumulate sphingomyelin, mice where this lipid's lysosomal hydrolase has been knocked out (to simulate Niemann-Pick type A disease) do not accumulate cholesterol at the whole cell level (Scandroglio et al. 2008; Galvan et al. 2008; Camoletto et al. 2009). So it becomes apparent that subcellular lipidomics is necessary to quantitate lipid accumulation (see Tharkeshwar et al. 2017 for NPCD); indeed the anisotropic distribution of lipids, and the potentially key role of minor lipid populations (Devlin et al. 2010; Wheeler et al. 2019a), suggest that suborganellar lipidomics (Kobayashi et al. 2002) may be necessary for an understanding of this disease. In particular, the question of whether cholesterol accumulates at intralysosomal membranes (as shown in Fig. 1) or the limiting membrane or both remains unanswered. Performing these experiments in cells from a variety

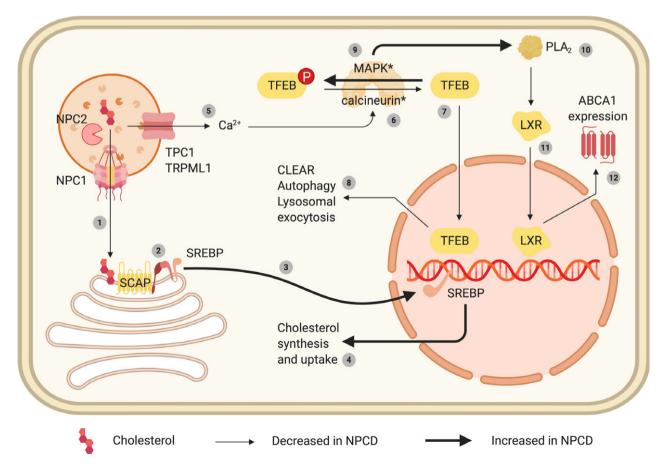


Fig. 1 From cholesterol storage to protein misregulation Cholesterol storage in NPCD sets off chains of events that lead ultimately to the overexpression of certain proteins and the under-expression of others. For details see text.

of LSDs may shed light on any common pathological pathways, including the possibility that secondary lipid storage is responsible for deleterious cellular effects, an issue which will be addressed again later.

From cholesterol storage to protein misregulation

It is becoming increasingly apparent that lysosomes, rather than being merely waste processing units, are fully integrated into the life of the cell (Lie and Nixon 2019). Accordingly, failure of lysosomal metabolite export will have effects that cannot be contained to the organelles directly affected but will impact the whole cell. In the case of cholesterol the onward destination from the lysosome has not been fully elucidated – there seem to be multiple cholesterol transport pathways operating simultaneously (van der Kant and Neefjes 2014; Raiborg et al. 2015; Pfisterer et al. 2016) and other proteins seem to have roles that have yet to be discovered (Bishop and Woodman 2000; Du et al. 2013). However, it is generally agreed that NPCD results in a cholesterol deficit at both the ER (Frolov et al. 2003; Du et al. 2011) and the Golgi (Garver et al. 2002a) with consequences for protein regulation (Fig. 1 where the suffix * denotes a protein in its activated state).

Reduced levels of cholesterol at the Golgi (Garver et al. 2002a) (Fig. 1, 1) affect the sterol homeostatic protein SREBP and its regulator SCAP. Under normal conditions cholesterol binds to SCAP inducing conformational change and keeping it associated with SREBP (Fig. 1, 2). Under conditions of low Golgi cholesterol, such as found in NPCD, SCAP dissociates from SREBP which is therefore broken down by Golgi proteases. A SREBP fragment then migrates to the nucleus (Fig. 1, 3) Brown and Goldstein 1998) where it acts as a transcription factor and up-regulates the enzymes involved in cholesterol synthesis and uptake (Brown and Goldstein 1998) (Fig. 1, **4**). Thus, NPCD produces a cellular excess of cholesterol but the defect in its lysosomal export fools the cell into believing that it is actually experiencing a cholesterol deficit. The cell responds accordingly by making more cholesterol and attempting to gather more from the extracellular medium (Liscum and Faust 1989; Reddy et al. 2006).

For reasons that have yet to be fully explained (but were alluded to briefly above Rocha *et al.* 2009; Garrity *et al.* 2016)) NPCD lysosomes have lower Ca²⁺ content than normal (Lloyd-Evans *et al.* 2008; Xu *et al.* 2012; Visentin *et al.* 2013) and thus calcium efflux is impaired (Shen *et al.* 2012; Höglinger *et al.* 2015) (Fig. 1, **6**). This in turn leads to a reduced activity of the cytosolic phosphatase calcineurin (Medina *et al.* 2015) (Fig. 1, **6**) which hydrolyses transcription factor EB (TFEB) (Napolitano and Ballabio 2016) rendering it active (Fig. 1, **6**). Thus there is less active TFEB to translocate to the nucleus. TFEB up-regulates the CLEAR network (Sardiello *et al.* 2009; Palmieri *et al.* 2011) of genes necessary for lysosomal function and also enhances

autophagy (Settembre et al. 2011) and lysosomal exocytosis Medina et al. 2011) (Fig. 1, 3) which lead to cellular offload of toxic substance and their metabolites. Thus, the consequences of reduced lysosomal calcium in NPCD is a reduction in all these processes at the transcriptional level as well as through the vesicle fusion defect discussed above. This is reinforced by the over-activation of MAP kinase in NPCD (Sawamura et al. 2001; Sawamura et al. 2003) (which occurs for reasons to be discussed later) as one report makes this enzyme responsible for phosphorylating TFEB keeping it inactive (Nada et al. 2009) (Fig. 1, 9). (A variant account (Castellano et al. 2017) makes the nutrient sensing complex mTORC1 the kinase for TFEB though the impact of cholesterol storage on mTOR status is still debated (Pacheco et al. 2007; Xu et al. 2010a; Castellano et al. 2017)). MAPK is also known to phosphorylate and thereby activate PLA2 (Fig. 1, **1**) which in turn reduces the action of the transcription factor liver X receptor (LXR, Fig. 1, 11) and reduces expression of one of its target genes the cell surface cholesterol exporter ABCA1 (Fig. 1, 12) (Choi et al. 2003; Wang et al. 2007). Hence, cholesterol is retained in the cell and, again, the net result of storage of excess cholesterol in the lysosome is to make the cell behave as though it were suffering a cholesterol deficit.

From cholesterol storage to cell death

As significant as these processes are, we may question whether the errors are serious enough in NPCD to account for the progressive cell death seen in patient neurones. This can be explained by a complex series of events shown in Fig. 2 (the * suffix represents a protein in its activated state).

Along with cholesterol NPCD endolysosomes accumulate other lipids including sphingomyelin (SM) (Te Vruchte et al. 2004; Tharkeshwar et al. 2017). These can permeabilize the lysosomal limiting membrane (Fig. 2, **1**); Chung et al. 2016; Gabande-Rodriguez et al. 2014; Amritraj et al. 2013) the glycocalyx which lines the membrane and protects it from the harsh degradative environment of the lysosomal interior is also altered in NPCD (Kosicek et al. 2018). Such perforations allow molecules usually localized to the lysosomal interior to escape into the cytosol; among these are the cathepsin proteases (Fig. 2, 2) which have been shown to damage mitochondria (Fig. 2, 8) (Cirman et al. 2004; Amritraj et al. 2013). Mitochondrial damage can also result indirectly from a cellular state known as oxidative stress. Oxidative stress is a harmful increase in reactive oxygen species (ROS, hydrogen peroxide, and the superoxide and hydroxide radicals) caused in turn by their increased generation (often resulting from mitochondrial leak, Fig. 2, **1**) or decreased detoxification pathways (such as catalase in peroxisomes). Increased ROS have been observed in NPCD cells (Koh et al. 2006; Klein et al. 2011; Kennedy et al. 2014) (with patient biochemistry also being affected Fu et al. 2010; Ribas et al. 2012)) which leads by an unknown

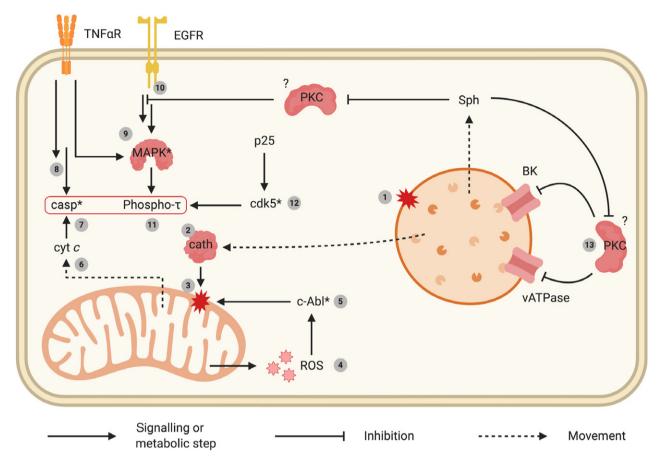


Fig. 2 From cholesterol storage to cell death Dysfunction in NPCD cells activates events that ultimately result in cell death. For details see text.

mechanism to the activation of the kinase c-Abl (Fig. 2, 6) (Sun et al. 2000; Alvarez et al. 2004; Klein et al. 2011) and thereby to mitochondrial damage (Ito et al. 2001). Whether initiated either by cytosolic cathepsins or by activated c-Abl, mitochondrial damage leads to release of respiratory chain protein cytochrome c (cyt c) from mitochondria (Fig. 2, **6**) (Ito et al. 2001; Cirman et al. 2004; Amritraj et al. 2013). This triggers the intrinsic apoptotic pathway (Huang et al. 2006) resulting in the activation of the caspase proteolytic cascade (Fig. 2, 1998) (Cai et al. 1998) which ultimately dismantles key cellular components including the nuclear envelope and leads to cell death. The extrinsic apoptotic pathway, triggered by binding of TNFα at its receptor (Fig. 2, 3), is also up-regulated in NPCD (Wu et al. 2005). Accordingly, both a c-Abl inhibitor Alvarez et al. 2008) and an anti-TNFα antibody (Vincent et al. 2010) have demonstrated symptomatic improvement in NPCD mice.

A second pathway leads to cell death: in NPCD mitogen activated protein kinase (MAPK) is found activated (Sawamura et al. 2001; Sawamura et al. 2003) (Fig. 2, 9). The reasons for this are unclear, though MAPK can be activated by binding of TNFα to its receptor Sabio and Davis 2014) and this pathway is up-regulated in NPCD (Wu et al. 2005)

MAPK activation by this means has not yet been explicitly demonstrated for this disease. More commonly MAPK is regarded as being on the EGFR pathway where protein kinase C (PKC) normally serves as a brake (Hunter et al. 1984; Livneh et al. 2015) (Fig. 2, **①**). Sphingosine (Sph), another lipid that accumulates in NPCD, (Lloyd-Evans et al. 2008) may (Hannun and Bell 1987; Rodriguez-Lafrasse et al. 1997) or may not (Bazzi and Nelsestuen 1987; Edsall et al. 1998) inhibit PKC (IC50 at least 1 µM) so if enough Sph escapes the lysosome to effect inhibition, itself an open question, then the brake on the EGFR pathway may be removed leading to activated MAPK. Alternatively, localization may play a role. In rat brain synapse PKC has been found associated with cholesterol and AnxA6 (Orito et al. 2001). If the AnxA6 is diverted to lysosomes, as it is in NPCD, (Te Vruchte et al. 2004) then the PKC may also be removed from proximity to the cell membrane and so to EGFR. One of the targets of aberrantly activated MAPK is the cytoskeletal protein tau (τ) and so in NPCD this activation leads to hyperphosphorylated tau (Fig. 2, 11) (Sawamura et al. 2001; Sawamura et al. 2003) possibly consistent with the finding of increased tau in the CSF of NPCD patients (Mattsson et al. 2011a). Fibrillary tangles

similar to those found in Alzheimer's disease therefore form in NPCD cells; (Auer *et al.* 1995) neuronal cell death results. Consistently, in NPCD cells hyperphosphorylation was reduced *in vitro* on treatment with a MAPK inhibitor (Sawamura *et al.* 2003) An alternative pathway to hyperphosphorylated tau starts with the observation that the protein p25, a proteolytic fragment of p35, is increased in NPCD. p25 serves as an activator of cyclin dependent kinase 5 (cdk5, Fig. 2, ②) which then hyperphosphorylates tau; (Bu *et al.* 2002) cdk5 inhibition led to reduced phosphorylation and symptomatic improvements in a mouse model of NPCD (Zhang *et al.* 2004).

None of the preceding paragraph can be regarded as uncontroversial. When MAPK inhibition was translated from in vitro to a mouse model enzyme activity was indeed reduced, but tau phosphorylation was increased and no clinical improvement could be observed (Zhang et al. 2008). However, selectivity in kinase inhibition is notoriously hard to achieve and the MAPK inhibitor used in both studies (Sawamura et al. 2003; Zhang et al. 2008) hits a range of enzymes; (Davies et al. 2000b) it is therefore difficult to be clear on the origins of the observed effects. Similarly neither of the cdk inhibitors used (Zhang et al. 2004) is selective for cdk5 (data from the ChEMBI database (Gaulton et al. 2017)). The genetic knockout of p35, the cdk5-activating protein, would be expected to give more targeted effects but did not result in the expected clinical improvements in NPC mice (Hallows et al. 2006).

Nonetheless, PKC activation has been reported by two groups to result in improvements in NPCD cell culture models (Tamari *et al.* 2013; Peter *et al.* 2017) which was attributed to a pathway involving rab9 and the cytoskeletal protein vimentin (see also Walter *et al.* 2003; Walter *et al.* 2009)); the possible involvement of tau was not examined. None of the studies cited in this section investigates the idea that PKC has a potential role in the regulation of vATPase (Nanda *et al.* 1992) and the lysosomal potassium channel BK (Fig. 2, **3**) (Zhou *et al.* 2010).

Mitochondria

Mitochondrial damage (Fig. 2, **3**) raises the expectation of metabolic dysfunction in NPCD and indeed reduced mitochondrial potential, (Yu et al. 2005; Visentin et al. 2013) reduced oxygen consumption, (Kennedy et al. 2014; Torres et al. 2017) reduced ATP production (Yu et al. 2005) and increased levels of lactate (Kennedy et al. 2014) have all been reported alongside increased ROS. (Koh et al. 2006; Klein et al. 2011; Kennedy et al. 2014) Most workers attribute this respiratory impairment not to loss of cyt c, or structurally aberrant mitochondria more generally, but to excess mitochondrial cholesterol poisoning the organelle. This hypothesis is superficially appealing and also consistent with mitochondrial dysfunction induced by cholesterol overfeeding in hepatic (Domínguez-Pérez et al. 2019) and

pancreatic (Asalla et al. 2016) cells. Perhaps significantly, increased contacts between mitochondria and endosomes, and correspondingly decreased contacts between ER and endosomes, have recently been reported in NPC1-deficient cells (Höglinger et al. 2019). However, this idea is also problematic as mechanisms for cholesterol-induced mitochondrial toxicity have been postulated (Torres et al. 2017: Solsona-Vilarrasa et al. 2019) but not yet proved and an alternate explanation has recently been offered (Yambire et al. 2019). Additionally mitochondria play a role in cellular cholesterol homeostasis for they house Cyp27A1 an enzyme responsible for converting cholesterol to 27-hydroxycholesterol, a ligand of the liver X receptor (LXR). (Allen et al. 2013) Agonism of this receptor in turn signals increased expression of the cell surface cholesterol exporter ABCA1. Consequently, high levels of cholesterol at the mitochondrion, postulated to account of respiratory dysfunction in NPCD, are a signal that the cell has excess cholesterol and will lead to export of this lipid via increased expression of ABCA1. In fact ABCA1 expression is reduced in NPCD (Choi et al. 2003; Wang et al. 2007) (although other studies disagree Reddy et al. 2006; Vivas et al. 2019) and, as we shall see later, LXR agonists have enjoyed some success as therapies. (The role, if any, of SREBP in regulating ABCA1 is unclear with contradictory results having been reported Zeng et al. 2004; Wong et al. 2006). The issue could perhaps be settled if cholesterol in control and disease mitochondria could be quantitated, but this requires isolation of clean and complete mitochondrial samples. This problem is noted for its difficulty (Kappler et al. 2016) and not all NPCD studies that work with isolated mitochondria assess the purity of this cellular fraction. Those that do report increased mitochondrial cholesterol (Yu et al. 2005; Charman et al. 2010; Kennedy et al. 2014; Balboa et al. 2017a). Intriguingly, work with isolated mitochondria from healthy cells (Yu et al. 2005; Ziolkowski et al. 2010) has reported a decrease in respiratory function on cholesterol lowering which, when taken together with the Niemann-Pick work, may suggest that mitochondria have only a narrow range of tolerable cholesterol levels.

If excess cholesterol poisons mitochondria in NPCD how does excess endolysosomal cholesterol find its way to mitochondria instead of the ER or Golgi (Garver *et al.* 2002a; Frolov *et al.* 2003). It has been hypothesized that lysosomal luminal protein NPC2 transports cholesterol to the LEL outer membrane in a manner independent of NPC1 (Kennedy *et al.* 2012) and from there it is collected by steroidogenic acute regulatory protein D3 (StARD3, also known as MLN64) (Charman *et al.* 2010; Balboa *et al.* 2017a) and inserted into the mitochondrial membrane. This idea is seemingly inconsistent with the widely held model of cholesterol export which sees cholesterol transferred from NPC2 to NPC1 (Subramanian and Balch 2008; Infante *et al.* 2008b) before export and would lead to the expectation that

NPC2-deficient cells would have normal mitochondria. Whilst this issue has not yet been studied in the context of NPC disease, knockdown of NPC2 in liver cells reduced mitochondrial function (Wang et al. 2018) suggesting that if cholesterol is the offending lipid in Niemann-Pick then its path from lysosome to mitochondrion remains to be traced fully. We will return to this issue briefly when we discuss secondary lipid manipulation as treatment.

So, starting from a simple failure of metabolite export, NPCD progresses via multiple pathways to affect numerous cellular functions and results ultimately in cell death. This multi-faceted pathology poses an obvious question to those who would treat the disease: which aspect do you target? Perhaps surprisingly, many of the treatment options that have been explored depend upon the sphingolipids which coaccumulate with cholesterol in the NPCD lysosome. Thus it is important to understand their metabolism - for a recent review see (Sandhoff and Sandhoff 2018).

Sphingolipid metabolism

Sphingolipid synthesis in vivo begins with the condensation of serine with a long-chain acyl-CoA, usually palmitoyl, mediated by serine palmitoyl transferase. The reduction in the ketone group thereby generated precedes acylation of the nitrogen with a second long-chain acyl-CoA mediated by ceramide synthase before oxidation to give a carbon-carbon double bond yields ceramide. These steps happen in the ER (Tidhar and Futerman 2013). Ceramide is then transported to the Golgi in a vesicular manner and also by ceramide transfer protein (CERT, also known as StARD11) (Giussani et al. 2008). Translocation to the luminal face of the Golgi membrane allows addition of a zwitterionic head group to give sphingomyelin (SM). Ceramide remaining on the cytoplasmic face can be glycosylated to give glucosylceramide (GlcCer) by the requisite synthase GCS (Futerman and Pagano 1991; Jeckel et al. 1992). GlcCer is thus the simplest glycosphingolipid (GSL). GlcCer can then be transferred to the opposite face of the Golgi membrane, likely by an indirect mechanism involving the ER, (D'Angelo et al. 2013) where elaboration to higher GSLs such as gangliosides occurs. GlcCer is also transported to the cell membrane by a non-vesicular route (Halter et al. 2007) mediated by glycolipid transfer protein (GLTP) and phosphatidylinositol-four-phosphate adapter protein 2 (FAPP2, also known as PLEKHA8) where it eventually emerges on the cell surface (Halter et al. 2007). This renders it susceptible to endocytosis and therefore metabolism. Thus on an internal lysosomal membrane GlcCer is hydrolysed to ceramide by glucocerbrosidase (GBA1) (Gillard et al. 1998). Ceramide is further catabolized by acid ceramidase to sphingosine (Sph) which is returned to the ER by an unknown mechanism and processed to regenerate ceramide. A minor sub-population of GlcCer on the cytosolic face of membranes can be hydrolysed by specific enzyme GBA2.

Most obviously the localization of sphingolipid metabolic enzymes means the two sides of the lipid bilayers which form cell and organelle membranes are inequivalent (van Meer et al. 2008). Ceramide is glycosylated only on the cytosolic face of the Golgi membrane, and subsequent processes preserve the asymmetry this induces. For example GLTP and FAPP2 transport GlcCer to the cell membrane (Halter et al. 2007) where they are believed to interact with existing lipids (Mattjus 2009) to insert GlcCer. This insertion necessarily occurs only on the cytosolic (inner) leaflet. Although other sphingolipids may also exist on both sides of the membrane (e.g., SM), GlcCer has been shown to be rapidly metabolized and transported via a non-vesicular pathway on the cytosolic side (Warnock et al. 1994).

Treatment

Expression

Histone deacetylases (HDACs) are a family of enzymes involved in regulating gene transcription and are overexpressed in NPCD (Munkacsi et al. 2011) possibly as a result of c-Abl activation (Fig. 2, 6) (Contreras et al. 2016). Consequently inhibition of HDACs leads to increased expression of NPC1, (Pipalia et al. 2011) enough of which can then be transported to the lysosome where sufficient function is preserved to export stored cholesterol and normalize levels of this lipid (Munkacsi et al. 2011; Pugach et al. 2018). From testing various small molecule inhibitors with different selectivity profiles HDAC1 and -2 were tentatively identified as the key enzymes to target (Pipalia et al. 2011). Experiments in mice have thus far failed to confirm the in vitro promise of such compounds (Alam et al. 2018).

After translation to protein newly synthesized NPC1 is transported from the ER to the lysosome chaperoned by Hsp70; (Nakasone et al. 2014) mutant protein misfolds and is targeted for degradation (Gelsthorpe et al. 2008). Supplying a small molecule scaffold of the correct shape can cause mutant protein to fold correctly and thereby escape proteolysis. While the structural requirements of such an agent have been defined, (Ohgane et al. 2014) and correct protein localization demonstrated, (Ohgane et al. 2013) studies have not progressed to disease modification.

A recent approach seeks to correct protein misfolding by manipulating ER calcium (Yu et al. 2012). While this has been successful in a mouse model of another LSD (Liou et al. 2016) its potential in NPCD is currently unclear given that pathology may depend on calcium in multiple ways.

Cholesterol

Trapping excess cholesterol in the lysosome fools the NPCD cell that it is experiencing a cholesterol deficit. It is therefore possible that therapies aimed at cholesterol reduction will be ineffective. Indeed when lipid-lowering

agents were tried in patients, levels of plasma and hepatic cholesterol fell, but no impact on disease was reported (Patterson et al. 1993). In NPCD mice nifedipine (a Ca²⁺channel blocker, postulated also to induce cholesterol efflux) and probucol (an inhibitor of cell surface cholesterol exporter ABCA1) led to reductions in hepatic cholesterol but no effect on disabling neurological symptoms (Erickson et al. 2000). (Given the later finding that ABCA1 is downregulated in NPCD Choi et al. 2003; Wang et al. 2007) this is not surprising.) Lipid lowering agent clofibrate failed to reduce cholesterol levels in disease fibroblasts, (Beheregaray et al. 2003) though given the pleiotropic effects of this class of drugs this finding might have been expected. Statins have recently been revisited and found ineffective in iPSC-derived neurones, (Yu et al. 2014) although showing some promise in oligodendrocyte culture (Yang et al. 2018).

A subtler approach to cholesterol reduction is an agonist of the liver X receptor (LXR) which leads to an increase in ABCA1 levels in turn causing cholesterol offload in NPC1-deficient cells (Boadu *et al.* 2006; Boadu *et al.* 2012). This increased the lifespan of NPCD mice though offered only a very slight improvement in neurological symptoms (Repa *et al.* 2007).

Cyclodextrins (CDs) are large hydrophilic molecules containing a hydrophobic cleft and may solubilize lipophilic molecules including cholesterol. A range of studies has demonstrated the effectiveness of CDs in treating NPCD, although not, as might first be expected, by extracting cholesterol from membranes (Chen et al. 2010). Rather CDs are endocytosed (Chen et al. 2010; Rosenbaum et al. 2010) where they sequester excess cholesterol in the LEL and return it to circulation - cholesterol is thus exocytosed, (Chen et al. 2010) reduced at the lysosome (Rosenbaum et al. 2010) and increased at the ER; (Abi-Mosleh et al. 2009) cholesterol synthesis is reduced (Liu et al. 2009) (An alternative narrative makes cholesterol normalization dependent on corrected autophagy (Dai et al. 2017). While an explanation of the reasons behind this is not offered it is noteworthy that the sphingolipid field has produced similar findings on the interdependence of the two processes (Young et al. 2016; Lima et al. 2017)). Mitochondrial dysfunction is also normalized by cyclodextrin treatment, (Yu et al. 2005) though these experiments were conducted on isolated mitochondria which questions whether this approach would be successful in whole cells. CDs have been successfully used in mouse models of NPCD (Davidson et al. 2009; Liu et al. 2009; Ramirez et al. 2010) although a major drawback is their inability to cross the blood-brain barrier (Calias 2017). Use in patients thus requires intrathecal administration; this technique underpins the initial success (Ory et al. 2017; Berry-Kravis et al. 2018) of a small clinical trial (NCT01747135) Other studies (e.g., NCT03471143) are planned.

LEL calcium

As we have already seen NPCD cells have a deficit in endolysosomal Ca²⁺, as demonstrated by multiple studies (Lloyd-Evans *et al.* 2008; Xu *et al.* 2012; Visentin *et al.* 2013) (although there has been some disagreement (Shen *et al.* 2012)). This might explain the defects in endocytosis and autophagy, both of which depend on Ca²⁺-efflux from the lysosome. Thus inhibiting Ca²⁺-uptake by the ER might increase cytosolic Ca²⁺, allow lysosomal stores to refill and so restore LEL function. Accordingly curcumin, an inhibitor of the SERCA calcium pump, restored aberrant endocytosis *in vitro* and improved lifespan in NPCD mice (Lloyd-Evans *et al.* 2008). While neurological defects in the murine model were unaffected by curcumin monotherapy, (Borbon *et al.* 2012; Williams *et al.* 2014) the benefits of other treatments were amplified (Williams *et al.* 2014).

Calcium is also a key intermediary in the pathway of adenosine_{2A} receptor (A_{2A}R) agonists the only extra-cellular target successfully investigated to date. The success of this approach in correcting both lysosomal and mitochondrial defects in a whole cell model (Visentin et al. 2013; Ferrante et al. 2016) marks it as unique. Pharmacological experiments suggest a downstream effect of A2AR agonism is PKA activation (Ferrante et al. 2016) consistent with the classical pathway of this GPCR (though earlier work in fibroblasts invoked the ERK class of MAP kinases instead (Visentin et al. 2013)). The pathway between PKA activation and Ca²⁺-mobilization is not explored, nor is the idea PKA can activate CFTR and induce lysosomal re-acidification (Chang et al. 1993; Folts et al. 2016). The finding that A_{2A}R agonism can lead to cholesterol efflux via increased ABCA1 expression (Bingham et al. 2010) is likewise neglected. A_{2A}R agonists in NPCD mice give small improvements in neurological symptoms and lifespan (Ferrante et al. 2018).

Sphingolipids

Some lysosomal storage disorders result from an inability to metabolize gangliosides correctly; these lipids thus accumulate. The observations that NPCD patients have a secondary accumulation of gangliosides and present clinically similar symptoms to patients with a deficiency in ganglioside metabolism, prompted the notion that gangliosides could be pathological in NPCD (Zervas et al. 2001). Given the absence of small molecule inhibitors of ganglioside synthases a logical treatment choice would therefore be to inhibit synthesis of their simpler glycolipid precursor GlcCer; indeed GCS inhibitor Nbutyldeoxynojirimycin (NB-DNJ) proved successful at alleviating symptoms in both cat and mouse models of the disease (Zervas et al. 2001). The first report of the effective treatment of a human patient emerged in 2004 (Lachmann et al. 2004) and was followed by clinical trials which demonstrated stabilization of disease progression and even some improvements (Patterson et al. 2007; Pineda et al. 2009). These developments led to the approval of NB-DNJ (miglustat,

Zavesca®, Actelion Pharmaceuticals Ltd, Switzerland reviewed (Pineda et al. 2019)) in Europe in 2009. Subsequent studies have questioned miglustat's mode of action. While the improvement in a murine model was confirmed, brain lipid analysis showed an increase in GlcCer (and no effects on gangliosides GM2 or GM3) – inconsistent with its postulated action as a GCS inhibitor (Nietupski et al. 2012). Off-target effects at GlcCer cytoplasmic hydrolase GBA2 were suggested and in vitro work later confirmed that miglustat was in fact a more potent inhibitor of this enzyme than of the synthase (Ridley et al. 2013). Use of a rationally designed GBA2 inhibitor (Overkleeft et al. 1998) has recently been effective in treating NPCD mice (Marques et al. 2015) while genetic deletion of GM2 or -3 synthases in murine models failed to yield improvements (Liu et al. 2000; Lee et al. 2014). This is consistent with miglustat being clinically effective but not altering GM2 or -3 levels and suggests that these gangliosides are not the toxic lipids in NPCD. Accordingly, the subpopulation of GlcCer on the cytosolic face of membranes ('cytosolic GlcCer') emerges as an important factor in NPC disease. We have recently demonstrated that cytosolic GlcCer may be responsible for regulating vATPase and hence that GBA2 inhibition results in correction of defects in both lysosomal acidification and endocytic trafficking in NPCD (Wheeler et al. 2019a). Potentially this ties in well with the ideas that NPC1 is a sphingosine exporter (Lloyd-Evans et al. 2008; Höglinger et al. 2015; Höglinger et al. 2017; Wheeler et al. 2019b) and that Sph inhibits GBA2 (Schonauer et al. 2017). Thus reduced Sph export removes an endogenous negative regulator of GBA2 leading to a reduction in cytosolic GlcCer and consequently reduced vATPase activation and increased LEL pH (Chakraborty et al. 2017; Tharkeshwar et al. 2017; Wheeler et al. 2019a).

There are some possible commonalities here with Gaucher disease which results from loss of function mutations of the lysosomal GlcCer hydrolase GBA1. Both Gaucher and NPCD feature lysosomal storage of GlcCer, both have up-regulated GBA2 (Burke et al. 2013; Marques et al. 2015) and both are clinically treated with GBA2 inhibitor miglustat. Thus deficient levels of cytosolic-facing GlcCer may be toxic (rather than excessive levels of lipids in the lysosome) and these can be corrected through inhibition of GBA2 - in NPCD fibroblasts nanomolar concentrations of GBA2 inhibitor AMP-DNJ led to large increases in total GlcCer (Wheeler et al. 2019a). Whether this is genuinely the pathway, and if so whether it can be generalized, remains to be seen. The possibility that miglustat treatment may reduce both tau and amyloid accumulation in NPCD patients (Mattsson et al. 2011a; Mattsson et al. 2011b) suggests that miglustat repurposing and clarification of miglustat targets (GBA2 and GCS) might be fruitful future avenues (Wheeler et al. 2019a).

Another important sphingolipid pool is that of sphingomyelin (SM) in the intra-lysosomal membranes mentioned above. These membranes are rich in specialized lipid bis (monoacylglycero)phosphate (BMP) also known as lysobisphosphatidic acid (LBPA), (Kobayashi et al. 1999; Chevallier et al. 2008). SM is degraded at these membranes by acid sphingomyelinase (aSMase), a process which depends on the interaction of the enzyme with BMP (Reagan et al. 2000; Tamura et al. 2006). The activity of aSMase is reduced in NPCD (Reagan et al. 2000: Tamura et al. 2006) despite normal expression (Reagan et al. 2000) and localization (Tamura et al. 2006). This contributes to high levels of SM in NPCD endolysosomes which, as noted above, can permeabilize the limiting membrane (Amritraj et al. 2013; Gabande-Rodriguez et al. 2014; Chung et al. 2016) leading ultimately to apoptosis. Hsp70 stabilizes the aSMase-BMP interaction (Kirkegaard et al. 2010) and thus dosing recombinant Hsp70 corrects errors in a murine model of NPCD (Kirkegaard et al. 2016) (These mice entirely lack NPC1 so it was not possible to assess whether Hsp70 also chaperoned transport of mutant NPC1 to endolysosomes (Nakasone et al. 2014) thus giving sufficient NPC1 activity). The effect could be recapitulated by synthetic Hsp70 inducer arimoclomol (Kirkegaard et al. 2016). A human clinical trial (NCT02612129) with this agent has recently concluded with positive results. This research raises other questions. Both SM (Puri et al. 2003) and BMP (Kobayashi et al. 1999; Chevallier et al. 2008) are reported to associate strongly with cholesterol at the intra-luminal membranes; cell, model membrane and computational studies all suggest that SM has an inhibitory effect on cholesterol transfer (Abdul-Hammed et al. 2010; Oninla et al. 2014; Enkavi et al. 2017) and increasing aSMase activity results in increased cholesterol export in normal cells (Gallala et al. 2011). More surprisingly, but consistently, increasing aSMase activity decreased lysosomal cholesterol in NPCD cells (Devlin et al. 2010). Comparable findings have recently been reported with BMP (Moreau et al. 2019).

Concluding remarks

The survey of treatments arrived at the point where it appears to be possible to reduce lysosomal cholesterol in NPC1 deficient cells by doing nothing more than altering the population of another lipid inside the lysosome (Devlin et al. 2010; Kirkegaard et al. 2010; Gallala et al. 2011). Other work finds that the same result can be achieved by overexpression of rab7 (Choudhury et al. 2002), use of a viral rab7 equivalent (Cianciola and Carlin 2009; Cianciola et al. 2013), by increasing expression of ABCA1 (Boadu et al. 2006; Boadu et al. 2012), by inhibiting O-glycosylation of lysosomal membrane proteins (Li et al. 2015) or forcing ERendosome contact sites (Höglinger et al. 2019). At the very least this suggests that NPC1 is not required for endolysosomal cholesterol export (though NPC2 may be Boadu et al. 2012; Kennedy et al. 2012; Cianciola et al. 2013)). A more radical version of this hypothesis argues on kinetic grounds (Lloyd-Evans et al. 2008) that cholesterol storage in NPCD

is not primary but secondary and therefore the main or only function of NPC1 is not cholesterol export but some other form of endolysosomal regulation. The pathway postulated above linking NPC1, Sph, cytosolic GlcCer, and LEL pH may stimulate productive studies along these lines, as may the finding that NPC1 regulates other lysosomal proteins including cathD (Macías-Vidal et al. 2016).

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